

Phylogenetic Analysis of Avian Influenza Viruses in the Iran-Pakistan Region

1- Amir Hossein Khosrowjerdi – Department of Poultry Diseases, Razi Vaccine and Serum Research Institute, Karaj, Iran. ORCID: 0009-0004-7383-4572

2-Alireza Abtin – Department of Poultry Diseases, Razi Vaccine and Serum Research Institute, Karaj, Iran.

3-Ali naderian - Department of Poultry Diseases, Razi Vaccine and Serum Research Institute, Karaj, Iran. ORCID 0009-0003-0896-5851

alinaderian776@gmail.com

4- Mohammad yazdanmanesh - Department of Poultry Diseases, Razi Vaccine and Serum Research Institute, Karaj, Iran. ORCI 0009-0007-1978-0018

myazdanmanesh.uc@gmail.com

Abstract

Avian influenza viruses (AIVs) are a constant threat to the poultry industry and public health. The H9N2 subtype has been endemic in the Middle East since the 1990s, acting as a genetic segment donor and facilitating the emergence of new viruses through reassortment. This study provides a phylogenetic analysis of the evolutionary relationships and genetic reassortments among influenza virus sequences from the high-risk region of Iran and Pakistan and their neighboring countries. In this study, sequences of all six internal genes (PB2, PB1, PA, NP, M, and NS) of avian-origin influenza A viruses from Iran, Pakistan, Iraq, Turkey, and Afghanistan were analyzed. The sequences were obtained from the BV-BRC public database. Phylogenetic reconstruction was performed for each gene segment using the maximum likelihood method in RAxML (version 8.2.12) with the GTR+GAMMA model; topological robustness was assessed with 1000 bootstrap replicates. Examination and analysis of phylogenetic trees revealed distinct viral dynamics. The H9N2 subtype was detected in diverse avian hosts, with sequences from domestic chickens, ducks, and pigeons forming intertwined clusters within the phylogenetic tree, confirming the virus's active circulation and adaptation across multiple avian species. Phylogenetic analysis of H9N2 primarily revealed distinct country-specific clusters for Iranian and Pakistani virus isolates, suggesting independent evolution of local viruses within the poultry populations of each country. However, Phylogenetic analysis of H9N2 primarily showed distinct country-specific clusters for Iranian and Pakistani virus isolates, suggesting independent evolution of local viruses within the poultry populations of each country. However, some regions of the tree exhibited shared phylogenetic branches with closely related sequences from both countries, providing evidence of cross-border transmission.

Evidence also suggested a possible reassortment event between a 2009 Iranian H9N2 virus and a 2004 Pakistani H7N3 virus involving the PB1 gene, indicating genetic exchange between this highly pathogenic strain and H9N2. Overall, phylogenetic analysis revealed that viral

41 circulation in these two countries has led to diverse and independent lineages, alongside
42 evidence of viral interaction between them.

43 Consequently, this study emphasizes the urgent need for a coordinated regional surveillance
44 framework based on the One Health approach. Such a system, focused on monitoring genetic
45 changes in influenza viruses, would enable early warning of emerging threats and support the
46 development of more effective strategies to protect the poultry industry and mitigate public
47 health risks.

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50 **Keywords:** Avian Influenza; Phylogenetics; Reassortment; Poultry; One Health; Iran;
51 Pakistan; Middle East.

52

53 1. INTRODUCTION

54 Avian influenza viruses, with characteristics such as genetic reassortment and rapid evolution,
55 are a constant threat to the poultry industry and human health worldwide, as they can lead to
56 the emergence of new strains with altered pathogenicity and antigenic properties in diverse
57 hosts. The H9N2 subtype is the most prevalent AIV subtype in birds worldwide, with varying
58 disease severity, and has been reported to be endemic in the Middle East since the 1990s (1).
59 Although this virus often causes mild disease in poultry, its role as a “gene
60 donor” in reassortment events that have contributed to the emergence of zoonotic viruses such
61 as H5N1 and H7N9 is prominent (2). Serological evidence of H9N2-specific antibodies in
62 Iranian poultry workers, despite poultry vaccination, highlights its zoonotic transmission
63 potential(3).

64 The epicenters of highly pathogenic avian influenza (HPAI) are shifting towards the Middle
65 East and Africa (4) and This shift, combined with the presence of Eurasian migratory bird
66 routes and inadequate surveillance in many neighboring countries, creates favorable conditions
67 for viral gene exchange between influenza subtypes(5).

68 In addition, industrial poultry production and cross-border trade are increasing the spread of
69 endemic avian influenza viruses (6). The outbreak of H5N8 avian influenza in Pakistan (2018),
70 which was genetically related to a virus isolated from Saudi Arabia (2017), and the outbreak of
71 H5N1 avian influenza in Europe (2021–2022), which resulted from reassortment with low-
72 pathogenic viruses, highlight the importance of continuous surveillance of all circulating
73 strains (4, 7). At the same time, low-pathogenic avian influenza viruses (LPAIVs) such as
74 H9N2 and H3N2 are continuously circulating in live bird markets (LBMs). These markets act
75 as convenient hubs where different subtypes co-circulate, allowing for frequent reassortment
76 events(8).

77 Given the characteristics and potential of avian influenza viruses to harm the poultry industry
78 and human health, monitoring circulating strains and analyzing key epidemiological events are
79 crucial.

80

81 Objectives

82 This study aimed to investigate phylogenetic relationships and reassortment in avian influenza
83 viruses in Iran, Pakistan, and neighboring countries.

84

85 2. MATERIAL AND METHODS

86

87 2.1. Collection of recorded sequences

88 In this study, genomic sequences of avian-origin influenza A viruses from Iran, Pakistan, Iraq,
89 Turkey, and Afghanistan were retrieved from the BV-BRC (Bacterial and Viral Bioinformatics
90 Resource Center) database. The data were screened using the BV-BRC search interface with
91 filters applied for host (avian species), virus type (influenza A), and country of origin.

92 For all sequences, metadata including host species (e.g., chicken, duck, pigeon, wild bird) and
93 subtype (e.g., H9N2, H5N1, H7N3) was collected. Sequences of short length were removed to
94 minimize potential biases in phylogenetic reconstruction.

95 Gene segments: All internal genes were included: polymerase basic (base) 2 (PB2), polymerase
96 basic 1 (PB1), polymerase acid (PA), nucleoprotein (NP), matrix (M), and non-structural (NS)
97 genes.

98 2.2. Data preparation and alignment

99 Extracted sequences for each gene segment (PB2, PB1, PA, NP, M, NS) were aligned using the
100 multiple sequence alignment tool MUSCLE. The resulting alignments were then visually
101 inspected in MEGA X software to identify and correct obvious misalignments or excessive
102 gaps, thereby improving the reliability of the phylogenetic tree topology.

103 2.3. Phylogenetic tree construction

104 Phylogenetic reconstruction for each gene segment was performed using the "Gene/Protein
105 Tree" tool within the BV-BRC database, which employs RAxML (version 8.2.12) under the
106 maximum likelihood (ML) framework. The GTR+GAMMA nucleotide substitution model was
107 applied to all trees, and model parameters were optimized using the Broyden–Fletcher–
108 Goldfarb–Shanno (BFGS) algorithm as implemented in RAxML.

109 Node support was assessed with 1000 bootstrap replicates. Separate maximum likelihood trees
110 were constructed for the PB2, PB1, PA, NP, M, and NS gene segments. The resulting trees were
111 exported in both Newick and graphic formats for downstream interpretation and figure
112 preparation. (9).

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117 **3. RESULTS**

118 Phylogenetic trees were constructed using sequences extracted from the datasets using RAxML
119 (version 8.2.12), and different subtypes in the tree were distinguished by color -coded for
120 clarity.

121 **3.1 .H9N2 in different bird species**

122 Analysis of available sequences and phylogenetic relationships revealed that circulating H9N2
123 viruses have been isolated from multiple bird species. For instance, H9N2 sequences from
124 Pakistan (2015) obtained from diverse hosts, including chickens, ducks, and pigeons, formed
125 tight phylogenetic clusters. This clustering pattern suggests that H9N2 viruses, despite being
126 endemic in a region, can readily transmit among different avian species. Circulation among
127 different avian hosts increases the potential for viral reassortment and the emergence of novel
128 viruses.

129 **3.2 .Host and Geographic Phylogenetic Patterns**

130 The phylogenetic trees for the genes showed a tendency to form distinct clusters for sequences
131 of Iranian and Pakistani origin, indicating intra-country and endemic evolution within the
132 poultry production networks of each country. However, some sequences from the two countries
133 were closely related in some branches of the trees, suggesting the possibility of transboundary
134 transmission.

135

136 **3.3 .Reassortment between subtypes**

137 Sequences from waterfowl hosts tended to cluster in relatively separate branches from those of
138 chickens. However, there were key points of common ancestry in the trees, with sequences
139 from ducks and chickens being closely related, suggesting the circulation of some subtypes
140 between waterfowl and domestic poultry in the region.

141 **3.4. Genetic Diversity and Reassortment Potential of Avian Influenza Viruses in Iran and** 142 **Pakistan**

143 Analysis of sequenced samples and phylogenetic trees reveals significant diversity of avian
144 influenza subtypes and evidence of genetic reassortment in Iran and Pakistan, particularly
145 among waterfowl. In Iran, sequenced subtypes to date include H3N2, H5N1, H5N3, H5N8,
146 and H9N2. Notably, H9N2 viruses isolated from ducks in Iran form a distinct phylogenetic
147 branch separate from endemic viruses. In Pakistan, studies have documented the presence of
148 various subtypes, including H14N13 and H4N6, co-circulating with highly pathogenic strains.

PB2

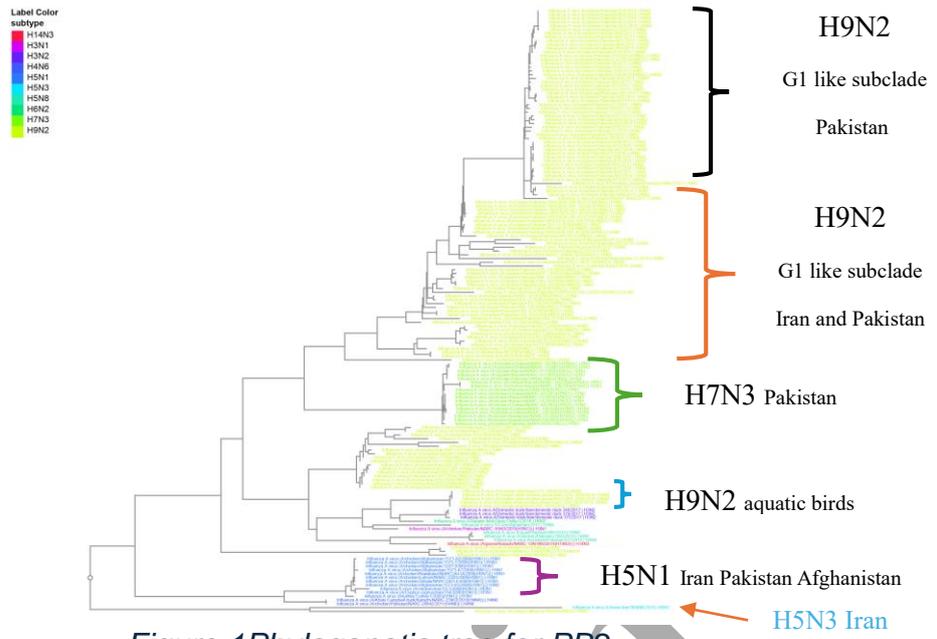


Figure 1 Phylogenetic tree for PB2

PB1

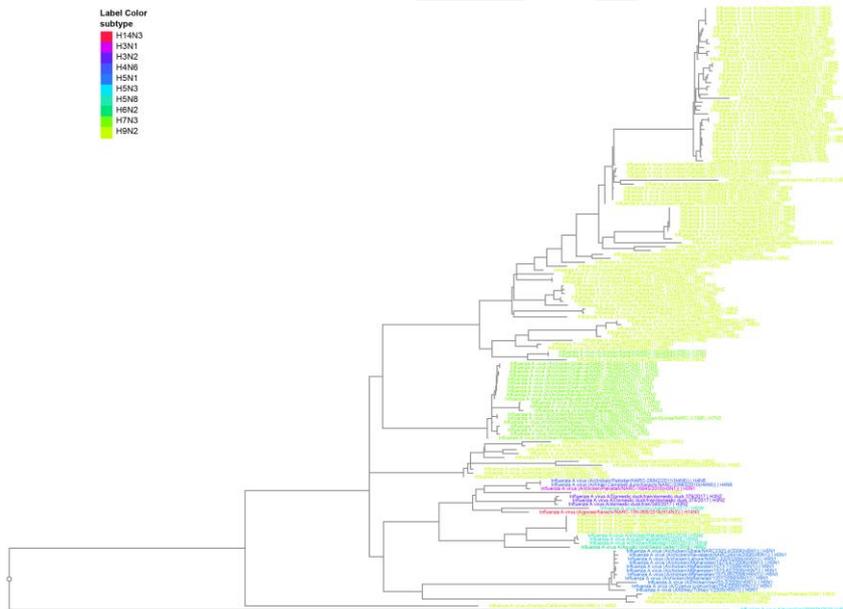


Figure 2 Phylogenetic tree for PB1

PA

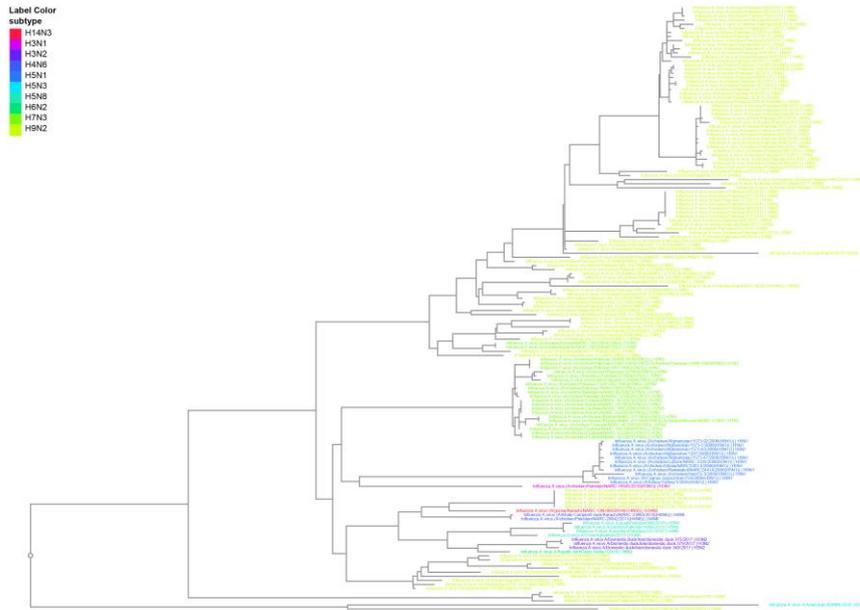


Figure 3 Phylogenetic tree for PA

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NP

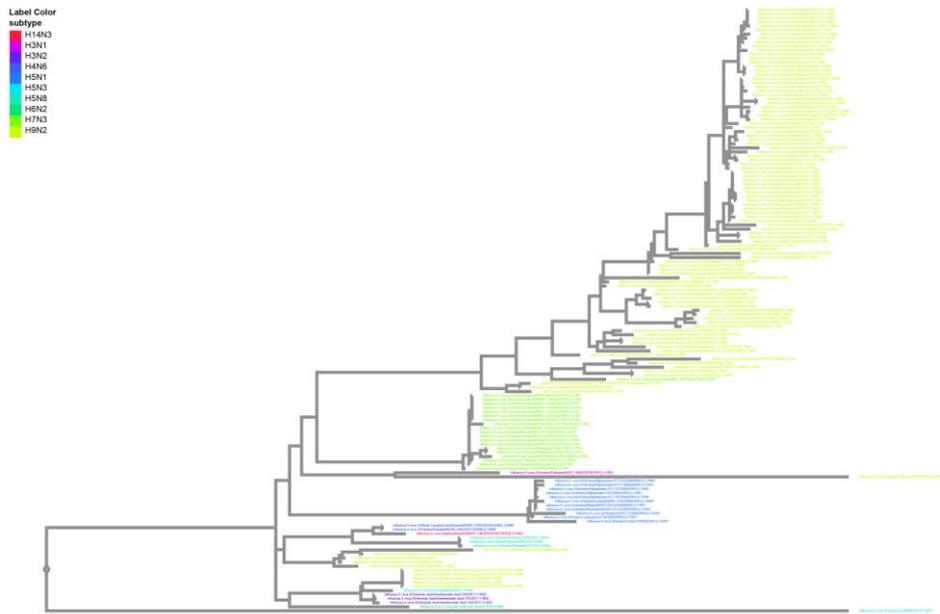


Figure 4 Phylogenetic tree for NP

M

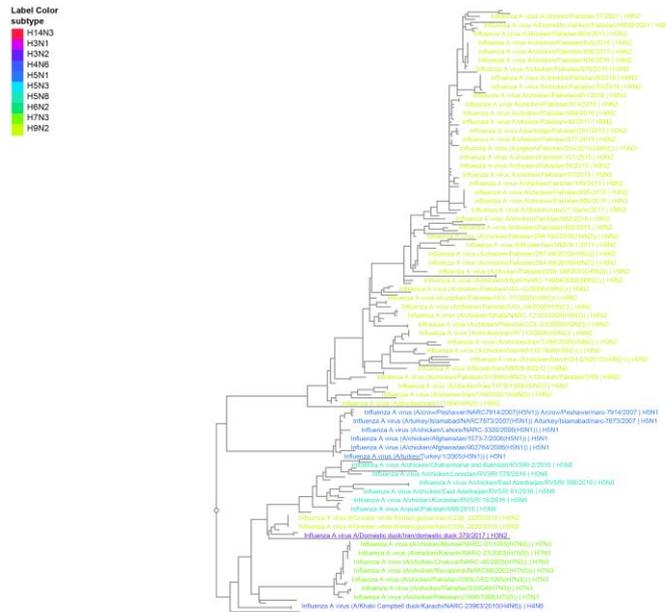


Figure 5 Phylogenetic tree for M

NS

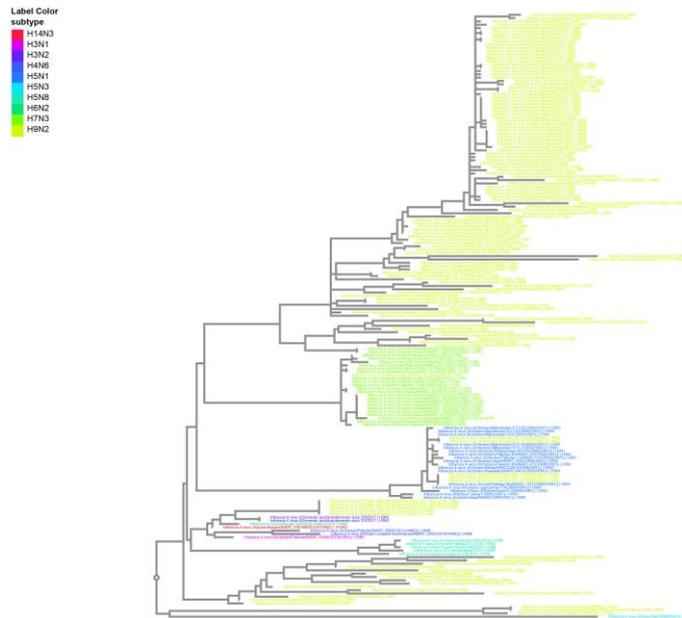


Figure 6 Phylogenetic tree for NS

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152 **4. DISCUSSION**

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154 In this study, a distinct country-specific clustering was observed for H9N2 viruses from Iran
 155 and Pakistan, indicating independent circulation of these viruses in poultry populations,

156 although shared branches were also identified in other parts of the phylogenetic tree. Poultry
157 trade and geographic proximity facilitate the spread of avian influenza viruses in the region.
158 As a result, influenza strains circulating in Iran and Pakistan can also spread to poultry in
159 neighboring countries beyond the previously reported genetic exchanges between them. This
160 is demonstrated by H9N2 isolates sequenced in Iraq that showed a close genetic relationship
161 in their hemagglutinin (HA) gene to strains isolated in Iran and Pakistan (10, 11). However, in
162 the absence of adequate surveillance, the local circulation of these endemic viruses plays a
163 more significant role than bird migration in creating their viral population diversity.(12).

164 Recent H9N2 strains from 2015 to 2022 in both countries cluster in the G1-like lineage,
165 indicating a common ancestor. The isolation of H9N2 from different species such as ducks,
166 pigeons, and chickens suggests that these endemic viruses have high evolutionary dynamics
167 and broad host adaptability, leading to increased evolutionary potential, as each host imposes
168 a unique selective environment on the virus, favoring specific mutations. The circulation of the
169 virus in waterfowl populations, which are natural reservoirs of diverse subtypes, and
170 subsequent transmission to poultry populations, creates a dangerous evolutionary cycle that
171 can alter the virulence of local viruses or lead to the emergence of new strains (13, 14). Previous
172 studies have reported highly pathogenic avian influenza (HPAI) viruses circulating in live bird
173 markets and in wild bird carcasses in Iran and Pakistan, indicating their potential threat to the
174 poultry industry (7, 15).

175 Low pathogenic avian influenza (LPAI) viruses, such as the endemic H9N2 in Iran and Pakistan
176 and circulating H3N2 in bird markets, are capable of reassortment with highly pathogenic
177 strains, leading to the exchange of genetic material (16). A notable example is the close
178 phylogenetic relationship between the PB1 gene of a 2009 Iranian H9N2 virus and that of a
179 2004 Pakistani H7N3 virus, indicating a possible reassortment event. Also, in the phylogenetic
180 tree for the NS gene, some H9N2 sequences cluster within the branch associated with H5N1,
181 which is consistent with global events where H9N2 serves as a gene donor in reassortments
182 with highly pathogenic strains such as H5 and H7(17).

183 Several circulating subtypes have been isolated from ducks and chickens and were found to be
184 closely related. This genetic link, given the role of aquatic birds as suitable hosts for co-
185 infection and reassortment, as well as the growing evidence highlighting the intermediary role
186 of chickens in potentially facilitating bird-to-human transmission (18, 19), along with reports
187 such as the detection of H9N2 antibodies in poultry workers in Iran, underscores the need for
188 continuous surveillance of these viruses.(3).

189 In Iran, the H5N3 virus sampled in Rasht in 2019 formed a distinct phylogenetic cluster based
190 on its internal gene sequences, which may indicate a newly introduced virus to the existing
191 avian influenza population in the country.

192 To prevent future risks, a coordinated One Health surveillance framework is essential.
193 Surveillance systems are fragmented and poorly integrated between the livestock, human and
194 environmental sectors. Measures such as cross-country collaboration and building on
195 successful models such as the Hong Kong surveillance model for H5N1 (20), which includes
196 continuous virus genome sequencing, wild bird surveillance and cross-border data sharing,
197 could be very effective. Close monitoring and surveillance of local bird markets, particularly
198 in areas where migratory birds are present, effective vaccination strategies and education and
199 awareness programs for poultry workers are essential to prevent the transmission of diseases

200 shared between humans and livestock. In summary, The results of this study provide a snapshot
201 of avian influenza viruses (AIV) in Iran and Pakistan, highlighting the need for an integrated
202 approach to protect the poultry industry and prevent dangerous outbreaks.

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204 CONFLICT OF INTEREST

205 The authors declare that they have no competing interests.

206 ETHICS STATEMENT

207 The study did not use any animals or biological samples. All analyses were performed with
208 publicly available sequence data from online databases.

209 AUTHOR CONTRIBUTIONS

210 **A.K.:** Conceptualization, Investigation, Formal Analysis, Writing – Original Draft.

211 **A.A.:** Supervision, Writing – Review & Editing.

212 CONSENT FOR PUBLICATION

213 Informed consent for publication was obtained from all participants.

214 CONSENT TO PARTICIPATE

215 All authors contributed to the study conception, and approved the final manuscript.

216

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220 DATA AVAILABILITY STATEMENTS

221 Data sharing not applicable – no new data generated, or the article describes entirely theoretical
222 research.

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