

1 **Harnessing *Listeria monocytogenes*: A Promising Approach to Cancer**

2 **Treatment**

3

4 **Abstract**

5 Increasing mortality and morbidity rates have drawn global attention to cancer, prompting the exploration
6 of new treatment options. The use of immunotherapy for recurrent or metastatic cancer has emerged as a
7 promising option over the years despite its limitations as compared to traditional treatment options. Among
8 the various immunotherapeutic approaches, bacterial-based vectors like *Listeria monocytogenes* (*Lm*) have
9 garnered attention for their unique characteristics. Utilizing these vectors involves leveraging their ability
10 to invade Antigen-Presenting Cells (APCs), grow intracellularly within immune cells, and spread
11 intracellularly, enhancing their efficacy in tailoring immune responses. It is important to note that the use
12 of bacterial vectors significantly minimizes the risks associated with off-target effects. The antitumor
13 effects of *Lm* can be observed through the reduction of immunosuppressive cells in the tumor
14 microenvironment as well as the stimulation of T cells. Various types of tumor cells can be targeted by
15 modified *Lm* vaccines, according to research. However, it is recognized that *Lm* vaccines alone may not
16 suffice for comprehensive cancer treatment. Therefore, using *Lm* vaccines in combination with other
17 therapeutic modalities like radiotherapy, reactivated adoptive cell therapy, and immune checkpoint
18 inhibitors could result in superior results. As a result of these developments, the current review aims to
19 elaborate on recent developments in the understanding of how *Lm* vaccines perform their antitumor
20 properties. This review aims to provide insights into optimizing the therapeutic potential of *Lm* vaccines by
21 comprehensively examining their interplay with the immune system. In order to harness the full therapeutic
22 potential of *Lm* vaccines for fighting cancer, researchers and clinicians need to gain a deeper understanding
23 of these mechanisms.

24 **Keywords:** *Listeria monocytogenes*, Cancer, Cancer vaccine, Immunotherapy, Tumor

25

26

27

28

29 **1. Context**

30 Cancer is a broad category of diseases that can affect virtually any organ or tissue in the body, grow
31 abnormally, invade other parts of the body, and eventually lead to death. World Health Organization
32 (WHO) data indicates that cancer caused 9.6 million deaths in 2018 (1). There are several physical and
33 psychological side effects associated with conventional cancer therapies, including chemotherapy, surgery,
34 and radiation. Immunotherapies in cancer treatment have become more prevalent in recent years (2).
35 Immunotherapies became a reality in 2010 with the approval of sipuleucel-T for prostate cancer therapy,
36 even though immunologists and oncologists once viewed artificial stimulation of the immune system as a
37 dream (3). Cancer immunotherapy has rapidly progressed in recent years (4). It is possible to categorize
38 immunotherapies into passive and active types, so tumor immunotherapy has emerged to selectively destroy
39 tumor cells by reactivating or activating host cellular immunity, mainly mediated by T cells (5).
40 Additionally, immunomodulatory drugs can work in contrast to cancer cells by increasing the absorption
41 of Dendritic Cells (DC), antibodies, macrophages, Natural Killer cells (NK), and cytokines that target
42 tumors.

43 It has recently been shown that tumor immunotherapy can improve patients' prognoses and overcome the
44 limitations of conventional treatments (6-9). Cancer vaccines developed from bacteria are a highly active
45 research area, with applications expected soon. It was documented for the first time when William B. Coley,
46 a New York Memorial Hospital surgeon, described using bacteria as anticancer agents in 1890. It has been
47 reported that several live attenuated, dead but metabolically active, and genetically engineered
48 microorganisms, including *Bacillus Clostridium*, *Listeria Monocytogenes (Lm)*, and *Salmonella*, can target
49 cancer cells and display anticancer properties (10). As a Gram-positive bacterium, *Lm* is mainly known as
50 a food-borne pathogen that can cause sepsis, bacteremia, and encephalitis, among other serious infections.

51
52 **2. Evidence Acquisition**

53 There are several virulent factors produced by the organism, such as *Listeria* Lysin O (LLO),
54 phospholipases specific to phosphatidylinositol, ActA proteins that recruit and polymerize host actin, and
55 internalin that aid nonphagocytic cells in adhering to the pathogen and internalizing it. Internalin B (inlB)
56 and Internalin A (inlA) are surface proteins on *Lm* that interact with C-Met and E-cadherin to allow *Lm* to
57 enter nonphagocytic cells. In the host phagosome, *Lm* becomes enclosed after internalization. As a result
58 of secreting lectin LLO and phospholipases (plcA and plcB), *Lm* perforates phagosomes, incoming the
59 cytoplasm to avoid being killed by phagolysosomes. *Lm* secretes Tumor-Associated Antigens (TAAs),

70 which are degraded by proteasomes and stimulate specific CD8+ T cells through Major Histocompatibility
71 Complex (MHC) class I molecules (11).

72 In contrast to other bacteria, *Lm* can more easily escape immune surveillance because of hypoxia,
73 suppressive Tumor Micro Environment (TME), and the ability to grow intracellularly. Increasing effector
74 T cells in the presence of immunosuppressive cells can improve the effectiveness of immunotherapy by
75 increasing innate immunity and restructuring TME. Many studies have examined *Lm* and irritated to use it
76 as a vector for tumor immunotherapy. Despite this, *Lm*-based therapy alone still poses several problems
77 associated with poor complications and therapeutic effects as a result of its potential pathogenic nature.
78 Among the possible ways to overcome the limitations, *Lm*-based therapy may be combined with other
79 treatments. *Listeria monocytogenes* (*LM*) cancer vaccines have great potential, and there is great hope for
80 their role in developing innovative anticancer therapies for cancer patients (12). Specifically, the review
81 will emphasize how *LM*-based immunotherapy modulates immune pathways to produce a promising
82 antitumor response and the current advances in *Lm*-based immunotherapy.

83 84 **3. Pathogenesis of *Listeria* infection**

85 Typically, *Lm* enters the body via the gastrointestinal tract after contaminated food is consumed, crossing
86 the intestinal epithelium and spreading in the blood. However, *Lm* is generally administered intravenously
87 to treat immunologic conditions, bypassing the intestinal epithelium. *Listeria monocytogenes* can enter the
88 bloodstream into various organs, including the placenta, brain, and liver. Although it can continue outside
89 the cell, it prefers to replicate in the cytoplasm of the cell. Numerous immune mechanisms are activated in
90 the intracellular compartments of *Lm* as it transitions into them. An internal family of proteins is primarily
91 responsible for allowing *Lm* to enter mammalian cells. There is particular interest in InlA and InlB, which
92 promote *Lm* invasion by binding to host receptors and initiating receptor-mediated endocytosis (13).
93 Internalin A and InlB infect different types of cells due to their binding to other receptors. Internalin A
94 binds E-cadherin on epithelial cells, whereas InlB relates with Met, the hepatocyte growth factor.

95 In addition to entering through cell surface receptors, APCs actively take up *Lm* through phagocytosis.
96 Additionally, as *Lm* interacts with the outer surface of mammalian cells, the innate immune response to *Lm*
97 is triggered. Pathogen-Associated Molecular Patterns (PAMPs) Expressions of *Lm* on the cell surface and
98 inside phagosomes are recognized by mammalian toll-like receptors (TLRs), which trigger NF- κ B signaling
99 and promote inflammation (14). *Lm* may undergo one of two fates once internalized into phagosomes.
100 Phagosome-lysosome fusion is estimated to kill most bacteria, providing antigens to stimulate MHC class
101 II-dependent exhibition and CD4+ T-cell response to *Lms*. As a result, *Lm* has developed mechanisms to

92 escape lysosome deprivation and enter infected cells' cytosols. In the host cell, *Lm* begins to express PrfA
93 as it transitions from the extracellular environment.

94 Numerous virulence genes are activated by PrfA, including forms of the two types of phospholipases, PlcA
95 and PlcB, and the pore-forming toxin LLO. Listerio lysin O molecules form a barrel-shaped cavity at the
96 phagosome membrane for controlling *Lm* passage (15), and phospholipases regulate *Lm* exit by hydrolyzing
97 membrane lipids directly (16). After leaving the phagosome, peptides secreted by *Lm* enter the host cell's
98 cytosol, where proteasomes can degrade and present them to cytotoxic T cells via MHC class I molecules.
99 As a result of the direct excretion of *Lm* antigens into the cytosol and their degradation in phagosomes, T
100 cells respond to *Lm* antigens with potent CD4+ and CD8+ responses (17).

101 By expressing the virulence factor actin assembly-inducing protein (ActA), *Lm* escapes the phagosome and
102 travels throughout the host cell cytosol. Acta proteins are attached to the surface of *Lm*, where they interact
103 with the Arp2/3 complex to stimulate actin monomer nucleation and filament formation. *Lm* can be pushed
104 throughout the cytosol and into the plasma membrane of an infected cell through actin polymerization,
105 forming protrusions that neighboring cells can internalize and spread the infection (18).

106

107 **4. Immunological mechanisms of *Listeria monocytogenes***

108 A biotechnology and medicine concept called path-biotechnology utilizes virulence factors and pathogenic
109 stress. Delivery systems that use *Lm* have much potential. *Lm* is one of the capable delivery systems (19).
110 Some reports have shown that the internal proteins of *Lm* (inlA and inlB) encourage phagocytosis in normal
111 non-phage-cystic human cells. As a result, therapeutic drugs can be conjugated to inlA/inlB proteins to be
112 delivered to cells. An actin rearrangement occurs when inlB binds to Met, a membrane protein present in
113 nonphagocytic cells, leading to the invasion of the bacterial pathogen by the host cell. Additionally, E-
114 catenin on host epithelial cells is activated by binding inlA to it, resulting in the cells' taking up bacteria. It
115 has been reported that attenuated strains of the *Lm* strain have recently been used in clinical trials to deliver
116 anticancer vaccines to humans (20). These studies have found that two essential genes, D-amino acid
117 aminotransferase (dat) and alanine racemase (dal), play a key role in producing mucopeptides in the *Lm*
118 cell wall.

119 Human CD24 protein, a biomarker of hepatocellular carcinoma, was expressed and secreted in human cells
120 based on a new approach based on genetic alteration of the replication-deficient *Lm* strain dal dat (Lmdd).
121 T-regulatory cells (Treg) and tumor growth were significantly reduced in mice treated with Lmdd-CD24
122 (21). In cancer immunotherapy, Cholesterol-Dependent Cytolysin (CDC) LLO, a pore-forming toxin

123 derived from *Lm*, is an effective adjuvant in increasing immune responses against TAAs. In the future, a
124 detoxified, nonhemolytic form of LLO (dtLLO) may act as a molecular pattern associated with a pathogen
125 and interact with Pathogen-Recognition Receptors (PRRs), such as Toll-like receptor 4, to elicit innate and
126 cellular immunity. As an example, dtLLO fusions with or mixed with Human Papillomavirus (HPV)16
127 recombinant E7 proteins (dtLLO-E7 fusion or dtLLO + E7) may promote DC maturation, enhance and
128 eradicate tumors, indicating its adjuvant effect and antitumor immune responses (induction of Interleukin
129 (IL-12) and Tumor Necrosis Factor(TNF α)) (22).

130 In addition, seeligeriolysin O, one of the CDCs associated with *Listeria seeligeri*, activates both TLR2 and
131 TLR4 in macrophages, triggering IL-12 production (22). Meanwhile, mast cells showed microbicidal
132 activity, including DNA release and granular proteins embedded in DNA called Mast Cell Extracellular
133 Traps (MCETs). The cells cause a wide variety of immunological diseases. It has been reported that *Lm*
134 induces mast cells to produce microbicidal MCETs and essential levels of ROS, inhibits Nicotinamide
135 Adenine Dinucleotide Phosphate (NADPH) oxidase, and reduces DNA release from mast cells (23). It was
136 reported that some differences were observed when generating DCs for cancer treatment. The *Lm* bacteria
137 activate DCs via PRRs. To find the most efficient DC activation components, researchers examined *Lm*
138 stimulation. Protein components are more effective at inducing DC maturation than DNA components.
139 There was a considerable improvement in DC maturation and CD8+ T cell proliferation stimulation via a
140 lysate fraction containing 109 proteins when compared with lipopolysaccharide maturation of DCs. An
141 analysis of bioinformatics data on 109 proteins revealed that elongation factor Tu acted as a ligand for PRR
142 during DC maturation (24).

143 Because *Lm* could spread between cells without entering the extracellular matrix, an adequate antibody
144 response was prevented from being produced. The hemolytic activity of its surface protein can induce a
145 CD8+ CTL phenotype and CD4+ Th1 phenotype *in vivo*, similar to other intracellular pathogens (25). The
146 low immunogenicity of TAAs is a severe problem because of their homology to self-proteins. As a result,
147 *Lm*'s ability to stimulate the immune system efficiently allowed the presentation pathways of MHC class I
148 and class II to be loaded with poorly immunogenic TAAs in professional APCs. In APCs, *Lm* can activate
149 several signaling pathways, including the TLR/MyD88 pathway, which promotes the expression of
150 suppressive/regulatory or inflammatory cytokines, autophagy, and ROS production. Another pathway is
151 governed by STING/IRF3, which results in the expression of co-regulated genes and Interferons (IFN- β).
152 Furthermore, another pathway is inflammasomes, which are activated by AIM-2/Caspase-1-mediated
153 signaling pathways that result in proteolytic activation and secretion of IL-1 β and IL-18 (26).

154 *Lm* activates pathways in monocytes and macrophages to elicit an innate immune response. Furthermore,
155 *Lm* stimulates the excretion of TNF-V8 cytokines, IL-2, IL-6, and IL-12 by DCs, as well as the upregulation

106 of other proteins like CD40 and Programmed Death-Ligand 1 (PD-L1) in DCs. The adaptive immune
107 response against *Lm* infection provides two primary functions, including the specific lysis of infected cells
108 and the rapid secretion of IFN- γ in response to the innate production of IL-12 and IL-18. There are
109 interesting results from a mouse model showing that neonatal innate cells produce higher quantities of IL-
110 10 and lower levels of Th1-eliciting cytokines when stimulated with *Lm*, resulting in suboptimal stimulation
111 of CD8+ T cells and CD4+ Th1 (26). However, when appropriate stimuli are administered before birth,
112 newborns can protect Th1-type immune responses and induce robust despite established differences
113 between them and adult adaptive immune responses (26).

114

115 **5. Application of *Lm*-based vaccine in solid tumors**

116 There have been numerous clinical trials and preclinical involving *Lm*-based vaccines for cancers,
117 including malignant pleural mesothelioma, Breast Cancer (BC), cervical cancer, prostate cancer, and
118 melanoma.

119 **5.1. Cervical cancer**

120 There are several risk factors associated with chronic HPV infection, especially type 16, that contribute to
121 cervical cancer, the fourth most common cancer among women (27). A substantial amount of evidence
122 must be gathered before current therapeutic interventions can be considered productive. The second-line
123 alternatives remain a source of disagreement due to the poor prognosis (28). The new vaccine Axalimogen
124 filolisbac (ADXS11-001) is based on a weakened *Lm* containing the HPV-16 E7 and LLO virus antigen
125 (29). ADXS11-001 can stimulate specific immune responses against malignant cells expressing the E7
126 molecule (29). As a result, Tumor-Infiltrating Lymphocytes (TIL) are increased, and the
127 immunosuppression status of TME is also alleviated (30).

128 Clinical trials such as phase I, II, and III have generated encouraging results for cervical cancer patients in
129 the future. A growing body of evidence suggests that recombinant *Lm* strains are more effective when
130 combined than when used alone. A significant improvement in disease regression was observed when HPV-
131 infected mice were treated with LI Δ actAplcB-E6E7 (LIA-E6E7) and LMDactAplcB-E6E7 (LMA-E6E7)
132 before these vaccines were administered. Furthermore, some studies show that optimizing codon usage
133 improves host immunity against TAAs (31). By comparing the codon-enhanced *LM4* Δ hly::E7-1 to
134 *LM4* Δ hly::E7, stronger Th1-biased immunity was observed and increased specific CTL activity and
135 lymphocyte proliferation —furthermore, *LM4* Δ hly::E7 significantly improved tumor establishment
136 efficacy (32).

187 **5.2. Melanoma**

188 Melanoma can be classified as the most destructive form of skin cancer arising from melanocytes. Inhibition
189 of melanoma growth by attenuated DactA/DinIb *Lm* that express Melanoma Inhibitory Activity (MIA) is
190 possible by reducing the density of blood vessels (33). It can induce cell-mediated immune responses
191 against HMW-MAA by expressing *Lm* with a high molecular weight melanoma-associated antigen (HMW-
192 MAA-C) targeting pericytes within the tumor vascular system and cells (34). It still appears that non-
193 targeting *Lm* can cause cell death in melanoma without a specific antigen target. Genetically engineered
194 Braf/Pten mice with melanoma showed significant reductions in their volume, size, and metastatic burden
195 due to Lmat-LLO, which produces ROS and induces apoptosis (35). After transplanting B16F10 cells into
196 a mouse model with OVA-expressing *Lm* with deletions of phospholipase C and actA, the mouse model
197 showed protection against melanoma due to robust CD8+ T cell responses. As a result of combined ICI and
198 RT, the *Lm* vaccine shows superior effects in reducing tumor size and increasing the infiltration of antigen-
199 specific CD8 + T cells and NK cells (36).

200 **5.3. Breast cancer**

201 One of the most common cancers in women is Breast Cancer (BC). There was a mortality rate of more than
202 20% among patients with resistance to intervention and metastatic lesions. Currently, surgery is the first
203 line of treatment for metastatic cancer, followed by chemotherapy or radiation. Although BC therapy has
204 advanced recently, primary or metastatic tumor cells are rarely eliminated after primary treatment. Several
205 aggressive strategies are needed, but few options exist, so other practical measures are urgently required.
206 The immunotherapy treatment is a promising option and can be an essential alternative for patients with
207 BC. A cDNA-expressing Mage-b *Lm* (LM-LLO- Mage-b/2nd) administered before establishing tumors
208 eliminates metastases more effectively than *Lm*-LLO in 4T1 BC models (37).

209 A TAA Mage-b-expressing *Lm* (*Lm*-Mage-b) combines with immunological adjuvants to stimulate
210 immunity. As a result of a synergistic interaction with α -galactosylceramide, *LM* Mage-b promotes the growth
211 of NK T cells in the spleen and eliminates metastatic colonies without harming the cells (38). Three
212 variations of Triple-Negative Breast Cancer (TNBC) include subtypes that lack the estrogen receptor, the
213 progesterone receptor, and the Human Epidermal growth factor receptor 2 (HER-2 receptor). The effects
214 of curcumin are enhanced by robust CD8+ T cell responses and the inhibition of Myeloid-Derived
215 Suppressor Cells (MDSC)-derived IL-6, resulting in an advanced level of efficacy against metastasis (39).
216 A high level of *Listeria* does not increase the number of MDSCs in primary tumors or blood. As a signal
217 to stimulate CD8+ T cell clonal expansion, IL-12 plays a role (40).

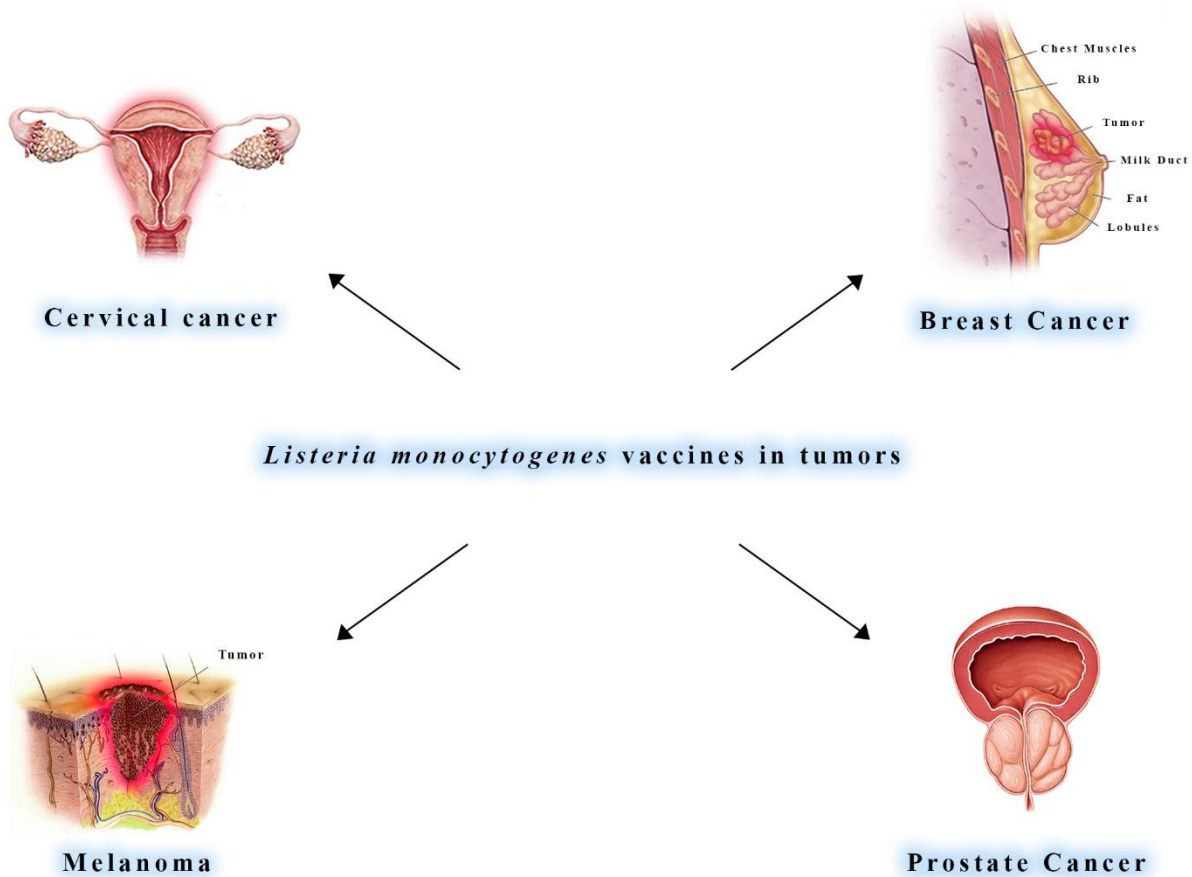
218 **5.4. Prostate cancer**

219 According to estimates, the number of new cases of prostate cancer will increase to 288,300 in 2023, and
220 the number of deaths will rise to 34,700 (41). It has been reported that nearly 20% of American men
221 diagnosed with prostate cancer have localized or metastatic disease at the time of their diagnosis.
222 Approximately 80% of patients with metastatic castration-resistant prostate cancer who have been treated
223 with androgen deprivation therapy for 1-3 years will ultimately develop metastatic disease. In most prostate
224 cancer cases, Prostate Specific Antigen (PSA) can be detected and is known to be the target antigen. A new
225 live attenuated *Lm*-based immunotherapy, ADXS31-142, mimics the activity of *Lm* Lysozyme toxin (tLLO)
226 by producing truncated fragments of the toxin and a fusion protein called tLLO-PSA (42).

227 In a study of patients with metastatic castration-resistant prostate cancer, ADXS31-142 combined with
228 pembrolizumab demonstrated safety and well-tolerance. As a newly developed personalized
229 immunotherapy, JNJ-64041809 (JNJ-809) is based on DactA/DinIb *Lm* and targets four antigens found in
230 prostate cancer, most importantly, prostatic acid phosphatase (42). Synovial sarcoma X breakpoint 2,
231 Prostate-specific membrane antigen, and NKX3.1 are the homeobox proteins involved in prostate
232 carcinoma (43). Despite the risks associated with JNJ-809, the safety of the drug is manageable, and early
233 interventions may result in a more robust response. The amount of antigen-specific immune response
234 observed is restricted and has not converted into an objective clinical response despite the limited
235 observable immune response.

236 **5.5. Malignant pleural mesothelioma**

237 Among the rare forms of Malignant Pleural Mesothelioma (MPM), asbestos exposure or exposure to other
238 tiny carcinogenic fibers is most likely to cause the disease. In patients with unresectable MPM, pemetrexed
239 and cisplatin are commonly used as standard first-line treatments. A high mortality rate has prompted the
240 search for alternative therapies. As more evidence accumulates, immunotherapeutic approaches may be
241 promising treatment options for MPM. Most epithelial MPMs overexpress mesothelin, and CRS-207
242 increases NK cell and T cell infiltration and converts macrophages from immunosuppressive M2 to
243 proinflammatory M1. Combining CRS-207 with pemetrexed/cisplatin increased the CD8+ T cell ratio and
244 DC penetration in mice with MPM in a phase Ib study (44). Therapy can significantly reduce tumor size
245 without causing severe side effects. Cytoreduction surgery may also reduce immunosuppression, making
246 mesothelin-expressing *Lm* vaccines more effective (Figure 1) (Table 1).



247

248 **Figure 1: *Listeria monocytogenes* (*Lm*) vaccines in various tumor types**

249 *Listeria monocytogenes* (*Lm*) vaccines across multiple tumor types, including cervical, prostate, breast,
 250 and melanoma. *Lm*-based vaccines have shown promising results in enhancing antitumor immune
 251 responses and overcoming the immunosuppressive microenvironment in these cancers.

252 Table 1. Important recent studies regarding using *LM* to fight cancers.

Author	Year	Method	Results
Yi-Dan Ding	2023	Selectively deleting virulence genes of wild-type <i>LM</i> , including inlB, actA, alanine racemase (dal), and D-amino acid aminotransferase (dat), have	the result indicates that the competition with immunodominant <i>LM</i> -derived CD8+ T-cell epitope may be involved.

been widely used to develop
an ideal vector

Azam Bolhassani et al	2017	activate different signaling pathways in APCs containing: (a) a TLR/MyD88-dependent pathway promoting the expression of inflammatory or suppressive/regulatory cytokines (e.g. TNF- α , IL-12, and IL-10), autophagy and ROS production; (b) a STING/IRF3-dependent pathway resulting in expression of IFN- β and co-regulated genes; and (c) an AIM-2/ Caspase-1-dependent inflammasome pathway leading to pro- teolytic activation and secretion of IL-1 β and IL-18	These vectors stimulate MHCI and MHCII pathways and the proliferation of antigen-specific T lymphocytes.
John C. Flickinger Jr	2018	Vaccines using Δ actA/ Δ inlB strains also exhibit rapid clearance of infection from the liver and spleen compared to single Δ actA or Δ inlB mutants.	Lm vaccines targeting tumor-associated angiogenic proteins, including CD105 and VEGFR2, have demonstrated inhibited tumor growth

Mark Tangney	2010	The cytoplasmic location of <i>L. monocytogenes</i> is significant as this potentiates entry of antigens into the MHC Class I antigen processing pathway, leading to priming of specific CD8+ T cell responses	studies have demonstrated the ability of <i>L. monocytogenes</i> for intracellular gene or protein delivery <i>in vitro</i> and <i>in vivo</i> , and this vector has also displayed safety and efficacy in clinical trial
Jorge H. Leitão	2020	Deleting virulence genes, constructing strains that ectopically express virulence or metabolic genes, and killing but metabolically active strains. virulence factors ActA and LLO by fusing them with tumor-associated antigens	successful <i>Listeria monocytogenes</i> cancer vaccines are immense, and there is great hope that such vaccines will contribute to innovative anti-cancer therapies that benefit cancer patients

203

204 **6. Safety of *Lm* strains and future perspectives**

205 Infections caused by *Lm* are most common in immunocompromised or immunosuppressed patients, such
 206 as the elderly, pregnant women, and the very young. The wild-type strain was highly attenuated compared
 207 to the live vectors used for clinical trials. Clinical trials can be highly effective with their attenuation.
 208 Antibiotic-free vectors enable them to be treated more readily for side effects after vaccination because of
 209 their use. *Lm* can also be grown on a media free from animal products, and its DNA cannot integrate into
 210 an organism's genome as viral vectors can (45). Clinical trials evaluating *Lm*'s safety found that its
 211 administration caused flu-like symptoms less severe than those caused by chemotherapy or radiation
 212 treatment. To minimize potential adverse events, patients may be screened for immune deficiencies before
 213 treatment, and a suitable antibiotic should be included in clinical protocols after dosing for infection
 214 prevention.

215 It is also possible for *Lm* infections to spread to healthcare workers or a patient's family from the patient.
 216 In clinical studies, the strains used are highly attenuated and not infectious, even in the wild type. Finally,
 217 there is a concern regarding the possibility that the vector could spread in the environment, especially if an
 218 antibiotic-resistant gene is included in the vector design. *Lm* has recently been demonstrated to be effective
 219 as a vector for delivering intracellular genes or proteins *in vitro* and *in vivo*. Clinical trials have shown that

270 this *Listeria* is safe and effective and that an innate and adaptive immune response is required to remove
271 the organism from the host. Cy/GVAX and CRS-207 heterologous prime/boost treatment with pancreatic
272 cancer extended survival with minimal side effects (46).

273 *Lm* vaccines have exposed promising performance in primary and metastatic cancers by enhancing targeted
274 antitumor immune responses and overcoming the immunosuppressive microenvironment (47). In contrast,
275 *Lm* vaccines can cause systemic immune reactions, hypertension, and fatigue, similar to other classical
276 immunotherapies. *Lm* is a pathogenic organism that has raised safety concerns, even risking bacteremia.
277 Several educations have maintained a delicate balance between *Lm*-based vaccines' potential effectiveness
278 and safety. In addition, a lack of technical facilities limits the ability to test if enough vaccines can reach
279 one's tumor site. Additionally, lacking technical facilities limits testing whether enough vaccines can reach
280 a tumor site due to a lack of technical infrastructure. The primary method of achieving this goal is to delete
281 virulence factors or develop *Lm*-RIID and KBMA strains.

282 As developed strains become more sophisticated, more effective evaluation ways will be required to select
283 the best applicants. Research has also examined the combination of *Lm*-based vaccines and ReACT cells,
284 radiotherapy, Immune Checkpoint Inhibitors (ICI), and other treatments with remarkable results (48).
285 Vaccines based on *Lm* can improve the immune response of CD8+ T cells and reform TME, allowing them
286 to use synergistic antitumor effects (48). Future clinical research may also focus on how *Lm*-based vaccines
287 contribute to other approaches that regulate TME immune status. The immune system differences between
288 humans and experimental animals continue to hinder *Lm*-based vaccine development. It is possible to elicit
289 robust CD8+ T cell immunity by using *Lm*-based vaccines to target mouse tumor antigens. It has not yet
290 been confirmed in humans. Several studies have suggested that the differences between human and mouse
291 Gamma Delta (gd) T cells might explain this phenomenon. A T cell can be classified into two types based
292 on gd receptor expression: gd-positive cells (gd+) and ab-negative cells (gd-). Gamma Delta T cells in
293 humans are mostly Vg9Vd2+, whereas GD T cells in murine are mostly Vg5Vd1+.

294 It has been shown that human DC infected with *Lm* induces Vg9Vd2 T cells by upregulating cholesterol
295 metabolism (49). In contrast to mice, *Lm* infection in humans increases Vg9Vd2 T-cell proliferation. *Lm*-
296 based vaccines expressing *Lm*-GUCY2C can induce strong *Lm*-specific immunity rather than anti-
297 GUCY2C immunity rather than anti-GUCY2C immunity against colorectal cancer antigens (*Lm*-
298 GUCY2C) (50). As a result of the finding, the immunodominant CD8+ T-cell epitope derived from the *Lm*
299 may be competing with it. Some evidence suggests that weak antigens, such as GUCY2C, are susceptible
300 to competition from peptides derived from *Lm*. Even though the principal mechanisms of *Lm*-derived
301 peptides may explain recent advances and the unresolved problems of different species, future research
302 must explore the synergies between treatments and vaccines (Figure 2).

Listeria monocytogenes-based novaccines Immunotherapy

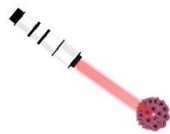
Benefits & Advancements

Enhanced Antitumor Immune Responses



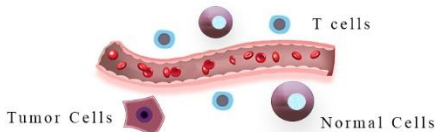
Lm-Vaccines

Synergies with Other Treatments



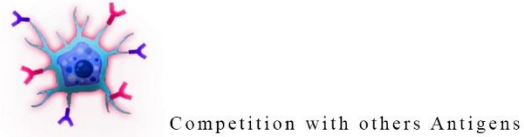
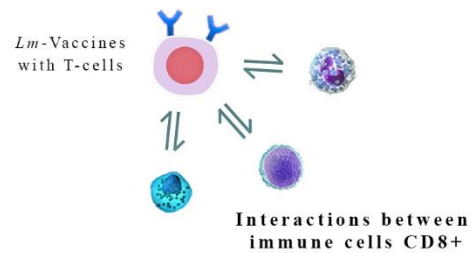
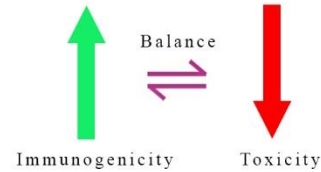
ReACT cells, radiotherapy, and Immune Checkpoint Inhibitors (ICI)

Improvement in TME



Tumor Cells T cells Normal Cells

Challenges & Considerations



303

304

Figure 2: Overview of Challenges, Considerations, Benefits, and Advancements in *Listeria monocytogenes* (*Lm*)-Based Cancer Vaccines.

305

306

Challenges and considerations include safety concerns, technical limitations in evaluating vaccine

307

efficacy, species differences affecting translational research, competition with weak antigens, and the

308

need for effective evaluation methods for sophisticated *Lm* strains. On the other hand, benefits and

309

advancements highlight enhanced antitumor immune responses, synergies with other treatments,

310

improvements in the tumor microenvironment, and the potential of *Lm* vaccines in inducing strong *Lm*-

311

specific immunity against colorectal cancer antigens.

312

313 Conclusion

314

Therefore, *Lm*-based immunotherapies may be a promising new avenue in the fight against cancer.

315

Research has shown that bacteria can enhance the effectiveness of cancer treatments while minimizing side

316

effects by exploiting their unique characteristics, such as their ability to invade host cells and induce robust

317 immune responses. As a therapeutic agent, modified *Lm* are versatile, reshaping the tumor
318 microenvironment, stimulating T cells, and reducing immunosuppressive cells. Despite the vaccine's
319 considerable promise, it will likely not be an all-inclusive solution for treating *Lm*. A more effective
320 approach would be to combine vaccines with other complementary treatments, such as adoptive cell
321 therapy, radiotherapy, and ICI. To further advance the understanding of the molecular mechanisms involved
322 in its contribution to antitumor immunity, more research needs to be undertaken into the mechanisms
323 involved in its effect and providing optimal combination therapies tailored to the needs of individual
324 patients.

325

326

327

328

329

330

331

332

333

334

335

336

337

338

339

340

341

342

۳۴۳ **Declarations and statements**

۳۴۴ **Funding**

۳۴۵ No funding was received to conduct this study.

۳۴۶ **Conflict of interests**

۳۴۷ The authors declare no conflict of interest.

۳۴۸ **Data availability**

۳۴۹ The datasets generated during and/or analyzed during the current study are available from the corresponding
۳۵۰ author upon reasonable request.

۳۵۱ **Ethical approval**

۳۵۲ On behalf of all co-authors, I hereby confirm that I have reviewed and complied with the relevant
۳۵۳ Instructions to Authors, the Ethics in Publishing policy, and Conflicts of Interest disclosure.

۳۵۴ **Author contribution**

۳۵۵ Conceptualization: [Alireza Omeanzadeh, Mahdi Soroushianfar], ...; Methodology: [Samin Safarian,
۳۵۶ Danial Soltani, Mahdi Soroushianfar], ...; Formal analysis and investigation: [Fateme Arzani, Zahra
۳۵۷ Mostofi Fakhrani, Alireza Omeanzadeh], ...; Writing - original draft preparation: [Fateme Arzani, Zahra
۳۵۸ Mostofi Fakhrani, Alireza Omeanzadeh, Samin Safarian, Danial Soltani, Mahdi Soroushianfar]; Writing -
۳۵۹ review and editing: [Fateme Arzani, Zahra Mostofi Fakhrani, Alireza Omeanzadeh], ...; Funding
۳۶۰ acquisition: [Self-funding], ...; Supervision: [Alireza Omeanzadeh]. All authors checked and approved the
۳۶۱ final version of the manuscript for publication in the present journal.

۳۶۲ **Consent to participate:**

۳۶۳ Not applicable

۳۶۴ **Consent for publication:**

۳۶۵ Not applicable

۳۶۶ **Acknowledgments:**

۳۶۷ Not applicable

۳۶۸

۳۶۹ **References**

- ۳۷۰ 1. Bray F, Ferlay J, Soerjomataram I, Siegel RL, Torre LA, Jemal A. Global cancer statistics 2018:
۳۷۱ GLOBOCAN estimates of incidence and mortality worldwide for 36 cancers in 185 countries. *CA: a cancer*
۳۷۲ *journal for clinicians*. 2018;68(6):394-424.
- ۳۷۳ 2. Anand U, Dey A, Chandel AKS, Sanyal R, Mishra A, Pandey DK, et al. Cancer chemotherapy and
۳۷۴ beyond: Current status, drug candidates, associated risks and progress in targeted therapeutics. *Genes &*
۳۷۵ *Diseases*. 2022.
- ۳۷۶ 3. Higano CS. Sipuleucel-T: autologous cellular immunotherapy for metastatic castration-resistant
۳۷۷ prostate cancer. *Drug Management of Prostate Cancer*. 2010:321-8.
- ۳۷۸ 4. Sadr S, Yousefsani Z, Simab PA, Alizadeh AJR, Lotfalizadeh N, Borji H. *Trichinella spiralis* as a
۳۷۹ potential antitumor agent: An update. *World's Veterinary Journal*. 2023;13(1):65-74.
- ۳۸۰ 5. Lotfalizadeh N, Sadr S, Morovati S, Lotfalizadeh M, Hajjafari A, Borji H. A potential cure for
۳۸۱ tumor- associated immunosuppression by *Toxoplasma gondii*. *Cancer Reports*. 2024;7(2):e1963.
- ۳۸۲ 6. Naseri A, Matoofi A, Mansouri Ramezani M, Kalantari L, Taherzadeh Amlashi T, Roudaki S, et
۳۸۳ al. Comprehensive analysis of Papillomavirus (PV) and its implications in cancer: Bridging the gap between
۳۸۴ human and veterinary medicine. *Archives of Razi Institute*. 2024.
- ۳۸۵ 7. Ameli N, Babazadeh D, Seifdavati B, Gevarigz Sangar S, Babayi MM, Soltani D, et al. Utilizing
۳۸۶ *Aspergillus Fungi*, a Significant Veterinary Pathogen, in Lung Cancer Treatment: A Novel Approach.
۳۸۷ *Archives of Razi Institute*. 2024.
- ۳۸۸ 8. Rajaei N, Faraji N, Khabaz PB, Yousefi M, Khavidaki NL, Omranzadeh A. The Role of Newcastle
۳۸۹ Disease Virus in Cancer Therapy: A Systematic Review. *Journal of World's Poultry Research*.
۳۹۰ 2023;13(4):373-85.
- ۳۹۱ 9. Sadr S, Borji H. *Echinococcus granulosus* as a promising therapeutic agent against triplenegative
۳۹۲ breast cancer. *Current Cancer Therapy Reviews*. 2023;19(4):292-7.
- ۳۹۳ 10. Zhou S, Gravekamp C, Bermudes D, Liu K. Tumour-targeting bacteria engineered to fight cancer.
۳۹۴ *Nature Reviews Cancer*. 2018;18(12):727-43.
- ۳۹۵ 11. Flickinger Jr JC, Rodeck U, Snook AE. *Listeria monocytogenes* as a vector for cancer
۳۹۶ immunotherapy: current understanding and progress. *Vaccines*. 2018;6(3):48.
- ۳۹۷ 12. Yang M, Yang F, Chen W, Liu S, Qiu L, Chen J. Bacteria-mediated cancer therapies: opportunities
۳۹۸ and challenges. *Biomaterials Science*. 2021;9(17):5732-44.
- ۳۹۹ 13. Pizarro-Cerdá J, Kühbacher A, Cossart P. Entry of *Listeria monocytogenes* in mammalian epithelial
۴۰۰ cells: an updated view. *Cold Spring Harbor perspectives in medicine*. 2012;2(11):a010009.

14. Aubry C, Corr SC, Wienerroither S, Goulard C, Jones R, Jamieson AM, et al. Both TLR2 and TRIF contribute to interferon- β production during *Listeria* infection. *PloS one*. 2012;7(3):e33299.
15. Köster S, Van Pee K, Hudel M, Leustik M, Rhinow D, Kühlbrandt W, et al. Crystal structure of listeriolysin O reveals molecular details of oligomerization and pore formation. *Nature communications*. 2014;5(1):3690.
16. Tattoli I, Sorbara MT, Yang C, Tooze SA, Philpott DJ, Girardin SE. *Listeria* phospholipases subvert host autophagic defenses by stalling pre- autophagosomal structures. *The EMBO journal*. 2013;32(23):3066-78.
17. Miles BA, Monk BJ, Safran HP. Mechanistic insights into ADXS11-001 human papillomavirus-associated cancer immunotherapy. *Gynecologic oncology research and practice*. 2017;4:1-12.
18. Lambrechts A, Gevaert K, Cossart P, Vandekerckhove J, Van Troys M. *Listeria* comet tails: the actin-based motility machinery at work. *Trends Cell Biol*. 2008;18(5):220-7.
19. Sleator RD, Watson D, Hill C, Gahan CGM. The interaction between *Listeria monocytogenes* and the host gastrointestinal tract. *Microbiology (Reading)*. 2009;155(Pt 8):2463-75.
20. Broeker NP. *Listeria monocytogenes* inlA/inlB as possible drug delivery systems. *Wiley Online Library*; 2013.
21. Yang Y, Hou J, Lin Z, Zhuo H, Chen D, Zhang X, et al. Attenuated *Listeria monocytogenes* as a cancer vaccine vector for the delivery of CD24, a biomarker for hepatic cancer stem cells. *Cellular & Molecular Immunology*. 2014;11(2):184-96.
22. Wallecha A, Wood L, Pan Z-K, Maciag PC, Shahabi V, Paterson Y. *Listeria monocytogenes*-derived listeriolysin O has pathogen-associated molecular pattern-like properties independent of its hemolytic ability. *Clinical and Vaccine Immunology*. 2013;20(1):77-84.
23. Campillo-Navarro M, Leyva-Paredes K, Donis-Maturano L, González-Jiménez M, Paredes-Vivas Y, Cerbulo-Vázquez A, et al. *Listeria monocytogenes* induces mast cell extracellular traps. *Immunobiology*. 2017;222(2):432-9.
24. Mirzaei R, Saei A, Torkashvand F, Azarian B, Jalili A, Noorbakhsh F, et al. Identification of proteins derived from *Listeria monocytogenes* inducing human dendritic cell maturation. *Tumor Biology*. 2016;37:10893-907.
25. Wood LM, Guirnalda PD, Seavey MM, Paterson Y. Cancer immunotherapy using *Listeria monocytogenes* and listerial virulence factors. *Immunologic research*. 2008;42:233-45.
26. Liang ZZ, Sherrid AM, Wallecha A, Kollmann TR. *Listeria monocytogenes*: a promising vehicle for neonatal vaccination. *Human vaccines & immunotherapeutics*. 2014;10(4):1036-46.

27. Vinodhini K, Shanmughapriya S, Das BC, Natarajaseenivasan K. Prevalence and risk factors of HPV infection among women from various provinces of the world. *Archives of gynecology and obstetrics*. 2012;285:771-7.
28. Tewari KS, Java JJ, Gatliffe TA, Bookman MA, Monk BJ. Chemotherapy-induced neutropenia as a biomarker of survival in advanced ovarian carcinoma: an exploratory study of the gynecologic oncology group. *Gynecologic oncology*. 2014;133(3):439-45.
29. Basu P, Mehta A, Jain M, Gupta S, Nagarkar RV, John S, et al. A randomized phase 2 study of ADXS11-001 *Listeria monocytogenes*-*Listeriolysin O* immunotherapy with or without cisplatin in treatment of advanced cervical cancer. *International Journal of Gynecologic Cancer*. 2018;28(4).
30. Wallecha A, French C, Petit R, Singh R, Amin A, Rothman J. Lm-LLO-based immunotherapies and HPV-associated disease. *Journal of oncology*. 2012;2012.
31. Zhao KN, Chen J. Codon usage roles in human papillomavirus. *Reviews in medical virology*. 2011;21(6):397-411.
32. Duan F, Chen J, Yao H, Wang Y, Jia Y, Ling Z, et al. Enhanced therapeutic efficacy of *Listeria*-based cancer vaccine with codon-optimized HPV16 E7. *Human Vaccines & Immunotherapeutics*. 2021;17(6):1568-77.
33. Qian Y, Zhang N, Jiang P, Chen S, Chu S, Hamze F, et al. Inhibitory effect of live-attenuated *Listeria monocytogenes*-based vaccines expressing MIA gene on malignant melanoma. *Journal of Huazhong University of Science and Technology [Medical Sciences]*. 2012;32:591-7.
34. Maciag PC, Seavey MM, Pan Z-K, Ferrone S, Paterson Y. Cancer immunotherapy targeting the high molecular weight melanoma-associated antigen protein results in a broad antitumor response and reduction of pericytes in the tumor vasculature. *Cancer research*. 2008;68(19):8066-75.
35. Vitiello M, Evangelista M, Di Lascio N, Kusmic C, Massa A, Orso F, et al. Antitumoral effects of attenuated *Listeria monocytogenes* in a genetically engineered mouse model of melanoma. *Oncogene*. 2019;38(19):3756-62.
36. Lim JY, Brockstedt DG, Lord EM, Gerber SA. Radiation therapy combined with *Listeria monocytogenes*-based cancer vaccine synergize to enhance tumor control in the B16 melanoma model. *Oncoimmunology*. 2014;3(6):e29028.
37. Kim S, Castro F, Gonzalez D, Maciag P, Paterson Y, Gravekamp C. Mage-b vaccine delivered by recombinant *Listeria monocytogenes* is highly effective against breast cancer metastases. *British journal of cancer*. 2008;99(5):741-9.
38. Singh M, Quispe-Tintaya W, Chandra D, Jahangir A, Venkataswamy M, Ng T, et al. Direct incorporation of the NKT-cell activator α -galactosylceramide into a recombinant *Listeria monocytogenes* improves breast cancer vaccine efficacy. *British journal of cancer*. 2014;111(10):1945-54.

39. Singh M, Ramos I, Asafu- Adjei D, Quispe- Tintaya W, Chandra D, Jahangir A, et al. Curcumin improves the therapeutic efficacy of Listeria- M age- b vaccine in correlation with improved T- cell responses in blood of a triple- negative breast cancer model 4T1. *Cancer medicine*. 2013;2(4):571-82.
40. Valenzuela J, Schmidt C, Mescher M. The roles of IL-12 in providing a third signal for clonal expansion of naive CD8 T cells. *The Journal of Immunology*. 2002;169(12):6842-9.
41. Clancy E. ACS Report Shows Prostate Cancer on the Rise, Cervical Cancer on the Decline. *Renal & Urology News*. 2023:NA-NA.
42. Stein MN, Fong L, Tutrone R, Mega A, Lam ET, Parsi M, et al. ADXS31142 immunotherapy±pembrolizumab treatment for metastatic castration-resistant prostate cancer: open-label phase I/II KEYNOTE-046 study. *The oncologist*. 2022;27(6):453-61.
43. Bhatia-Gaur R, Donjacour AA, Sciavolino PJ, Kim M, Desai N, Young P, et al. Roles for Nkx3. 1 in prostate development and cancer. *Genes & development*. 1999;13(8):966-77.
44. Hassan R, Alley E, Kindler H, Antonia S, Jahan T, Honarmand S, et al. Clinical response of live-attenuated, *Listeria monocytogenes* expressing mesothelin (CRS-207) with chemotherapy in patients with malignant pleural mesothelioma. *Clinical Cancer Research*. 2019;25(19):5787-98.
45. Singh R, Wallecha A. Cancer immunotherapy using recombinant *Listeria monocytogenes*: transition from bench to clinic. *Human vaccines*. 2011;7(5):497-505.
46. Le DT, Wang-Gillam A, Picozzi V, Greten TF, Crocenzi T, Springett G, et al. Safety and survival with GVAX pancreas prime and *Listeria monocytogenes*-expressing mesothelin (CRS-207) boost vaccines for metastatic pancreatic cancer. *Journal of clinical Oncology*. 2015;33(12):1325.
47. Schuchat A. Infections caused by *Listeria monocytogenes*. *Harrison's Principles of Internal Medicine*. 2001.
48. Oladejo M, Paulishak W, Wood L, editors. Synergistic potential of immune checkpoint inhibitors and therapeutic cancer vaccines. *Seminars in Cancer Biology*; 2023: Elsevier.
49. Cruz MS, Diamond A, Russell A, Jameson JM. Human $\alpha\beta$ and $\gamma\delta$ T cells in skin immunity and disease. *Frontiers in immunology*. 2018;9:368640.
50. Ding Y-D, Shu L-Z, Deng H. *Listeria monocytogenes*: a promising vector for tumor immunotherapy. *Frontiers in Immunology*. 2023;14:1278011.

490